

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8877804
<b>Application Number:</b>	09685398
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7911
<b>Title of Invention:</b>	SYSTEM AND METHOD FOR AUTOMATICALLY REBALANCING PORTFOLIOS BY SINGLE RESPONSE
<b>First Named Inventor/Applicant Name:</b>	James Richard Kraemer
<b>Customer Number:</b>	47121
<b>Filer:</b>	John Robert Pivnichny/Mary Worden
<b>Filer Authorized By:</b>	John Robert Pivnichny
<b>Attorney Docket Number:</b>	RSW9-2000-0107-US1
<b>Receipt Date:</b>	19-NOV-2010
<b>Filing Date:</b>	10-OCT-2000
<b>Time Stamp:</b>	15:19:56
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	1825
Deposit Account	090457
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1_Related_IDS_11192010.pdf	37047	no	4
			b53b8ab9920edf4eed80b216b7fa9ea0d5dcb87		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
2	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1_IDS_state ment_11192010.pdf	13906	no	1
			26fa070d724a4172e02e88ecbb50d81026dd45c6		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	RSW920000107US1_Maurer_N PL_PA.pdf	1312725	no	22
			ae5a960208a62baf78614a507c8fbb28be5cd250c		
Warnings:					
Information:					
4	NPL Documents	Application_Number_60450664_Application_filed_03032003.pdf	381861	no	12
			e046cc03e70e5d200e9e929f9d63d89e19c02f72		
Warnings:					
Information:					
5	Fee Worksheet (PTO-875)	fee-info.pdf	30648	no	2
			fd20ede8ee4e1a4437322720237802157cca0be1		
Warnings:					
Information:					
Total Files Size (in bytes):			1776187		

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**